Sheet 1 of 3 sheet(s) U.S. Department of Commerce, Patent and Trademark Office Docket No. Serial No. APPM/4215 09/645,690 (PTO Form 1449 modified) LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT Confirmation No.: **Applicant** Sun et al. 4428 JUN 1 8 2003 (Use several sheets if necessary) Filing Date Group TRADEMA P 1746= 08/24/2000 Gentle E. Winter Examiner U.S. Patent Documents Class Subclass Filing Date 7 Issue Applicant(s) \*Examiner Document Appropriate Initial Number Date Name 4,169,337 NOT Provided 51 10/02/79 283 R 03/30/78 A1 Payne 4,588,421 Not provided 308 51 05/20/85 A2 05/13/86 Payne 4,752,628 06/21/88 523 122 05/15/87 A3 Payne 4,867,757~ 09/19/89 Payne 51 293 09/09/88 **A4** Brancaleoni et al. 51 308 04/27/92 5,264,010 11/23/93 A5 437 225 06/06/95 5,614,444 03/25/97 Farkas et al. **A6** 02/21/95 438 633 5,662,769 09/02/97 Schonauer et al. A7 5,700,383 ~ Feller et al. 216 88 12/21/95 12/23/97 **A8** 451 288 10/27/95 04/14/98 Tolles et al. A9 5,738,574 V 216 99 02/19/97 04/14/98 Hosali et al. A10 5,738,800 / 438 692 5,756,398 05/26/98 Wang et al. 03/17/97 A11 41 02/28/96 A12 5,769,689 06/23/98 Cossaboon et al. 451 11/24/98 438 692 12/14/95 5,840,629 V Carpio A13 COPY A14 03/02/99 Wu et al 134 2 03/17/97 5,876,508 134 1.3 03/27/97 06/15/99 Lee et al A15 5,911,835 Can Foreign Patent Documents Translation Class Subclass Date Country \*Examiner Document Initial Number YES NO 93/10277 05/27/93 WO C23C 18/38 П B1C23F 1/18 0 620 293 A 10/19/94 EP B<sub>2</sub> C23-6 X  $\mathbf{p}_3$ 2,722,511 ER. 1/14 01/19/96 EP 08/19/98 H01L 21/768  $\mathbf{p}_4$ 0 859 407 A X WO 98/49723 <sup>√</sup> 11/05/98 WO H01L 21/321 **B5** OTHER ART \*Examiner Including Author, Title, Date, Pertinent Pages, Etc. Initial Brusic, V. et al, "Copper Corrosion With and Without Inhibitors", Electrochem. Soc., 138:8, 2253-C1 2269, August 1991. Date Considered Examiner \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

Sheet 2 of 3 sheet(s) U.S. Department of Commerce, Patent and Trademark Office Docket No. Serial No. (PTO Form 1449 modified) APPM/4215 09/645,690 LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT **Applicant** Confirmation No.: Sun et al. 4428 (Use several sheets if necessary) Filing Date JUN 1 8 2003 Group 08/24/00 1746 C PADEMAR **U.S. Patent Documents** \*Examiner Document Issue Applicant(s) Class Subclass Filing Date If Initial Number Date Name Appropriate 438 A16 5,932,486 ~ 08/03/99 Cook et al. 692 08/15/97 5,958,794 ~ 09/28/99 Bruxvoort et al. 438 692 08/08/96 A17 5,981,454 COPY A18 11/09/99 Small-510 175  $\frac{02/14/97}{}$ 438 745 A19 6,033,993 03/07/00 Love, Jr. et al 09/23/97 6,042,741 < 252 79.1 03/28/00 Hosali et al. 03/10/98 A20 Hirabayashi et al. 438 693 6.046,110 < 04/04/00 06/04/96 A21 04/25/00 6,054,379 Yau et al. 438 623 02/11/98 A22 427 97 A23 6,068,879 -05/30/00 Pasch 08/26/97 6,074,949 A24 06/13/00 Schonauer et al. 438 692 11/25/98 Lee 106 3 12/01/98 A25 6,077,337 / 06/20/00 Mravic et al. 438 693 11/25/98 A26 6,083,840 07/04/00 08/01/00 Watts et al. 438 692 11/02/97 A27 6,096,652 A28 Kondo et al 438 690 10/30/98 6,117,775 ~ 09/12/00 Small et al. 438 693 07/21/97 A29 6,117,783 09/12/00 Но 134 1.3 A30 6,123,088 09/26/00 12/20/99 **Foreign Patent Documents** \*Examiner Country Class Subclass Translation Document Date Initial Number YES NO 05/06/99 1/02 B6 EP cogEP 0 913 442 A2 X PCT WO 99/46353 ✓ 09/16/99 **B**7 WO 00/30159 V WO H01L 21/00 X **B8** 05/25/00 WO 00/36037 J C09G 1/02  $\boxtimes$ **B9** 06/22/00 WO **B10** WO 00/49647 ✓ 08/24/00 WO HO1L 21/302 X OTHER ART \*Examiner Including Author, Title, Date, Pertinent Pages, Etc. Initial Date Considered 7/18/03 Examiner \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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